

2018
Edition

FINANCIAL STREET

[5 Years of Trust]



NISM-Series-XV: Research Analyst Certification
Examination Mock Test

2018 Edition



Who we are

Financial Street is a well-recognized name in the financial market education. We are specializes in training investors and providing high quality training to investors and traders across the country. Our vision is to be the most sought after learning provider in the areas of finance and leadership learning.

Financial Street is a group of professionals; our educational program is anchored around that philosophy. Our program is guided by our vision and mission.

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“Success is the result of perfection, hard work, learning from failure, loyalty, and persistence.”

NISM-Series-XV: Research Analyst Certification Examination Mock Test



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NISM-Series-XV: Research Analyst Certification Examination Mock Test Details

The examination seeks to create a common minimum knowledge benchmark for all associated persons registered as research analyst under SEBI (Research Analyst) Regulations, 2014, individuals employed as research analyst and partners of a research analyst, engaged in preparation and/or publication of research report or research analysis. An associated person shall be required to pass the NISM-Series-XV: Research Analyst Certification Examination to fulfill the requirements specified under Regulation 7(2) of the SEBI (Research Analysts) Regulations, 2014. The certification aims to enhance the quality of services provided by research analyst in the financial services industry.

“NISM-Series-XV: Research Analyst Certification Examination Mock Test”

Ques01:- What is the role of Research Analyst?

- a) RAs are only involved in the Analysis of data
- b) RAs are only involved in collection of data
- c) RAs help their clients to take informed decisions
- d) RAs help in financial planning

Ans: - C

Ques02:- As per SCRA, the term securities includes which of the following.

- a) Government Securities
- b) Derivatives
- c) Share, Scripts or bonds
- d) All of the above

Ans: - D

Ques03:- The intrinsic value of an equity share is discounted value of its future benefits to the Investor.

- a) True
- b) False

Ans: - A

Ques04:- Bonds which have embedded call option in them are known as a callable bond.

- a) True
- b) False

Ans: - A

Ques05:- Fundamental Analysis includes which of the following.

- a) Company Analysis
- b) Economic Analysis
- c) Industry Analysis
- d) All of the above

Ans: - D

Ques06:- Two major influencers of the public policies in an economy are&.....

- a) Government: Central Bank
- b) Stock Exchange: Government
- c) Central Bank: Stock Exchanges
- d) State Bank of India: National Stock Exchange

Ans: - A

Ques07:- National income of an economy can be measured through which of the following methods.

- a) Product method
- b) Income method
- c) Expenditure method
- d) All of the above

Ans: - A

Ques08:- If financials are great, it is expected that the quality of business is also good.

- a) True
- b) False

Ans: - A

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Testimonial

“It’s quite helpful mock tests series for research analyst exam. I scored 81 marks in only one week study in the NISM XV Research Analyst Exam. Thank you Financial Street.”

Mr. Pradeep

(New Delhi)

Our Vision

Our vision is to be the most sought after learning provider in the areas of finance and leadership learning. Our vision is to have a global presence in providing best value for money and innovative & fruitful learning to maximum satisfaction of students.

Our Mission

Our mission is to promote an open learning environment that brings together people, cultures and ideas from around the world, changing lives and helping transform organizations through innovative learning programs. Our mission is to transform our passionate students into dynamic stock market professionals who are knowledgeable, trained and committed to the profession.

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About the Author

This Mock Test is developed by Mr. Vikas Sharma (Technical Analyst & Having more than 10 years' Experience in Money Market) in coordination with the Team of Financial Street. Mock Test is reviewed by Dr. Uma (Professor & PHD in Economics).

“THANK YOU”
